

NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor: Meta Materials	Case Number: 24-50792	RECEIVED AND FILED DEC 06 2024 U.S. BANKRUPTCY COURT MARY A. SCHOTT, CLERK COURT USE ONLY
1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"): Michael Hallerbach 507 Janice Lane Placentia CA 92870 Telephone Number: (714)724-3012	<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars. <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case. <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.	
NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.		
Account or other number by which Interest holder identifies Debtor: Schwab Acct# 4127-8889 (Roth IRA)	Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest: Charles Schwab & Co, Inc, PO Box 982600, El Paso TX 79998-2600 Telephone Number: (800)403-9000	3. Date Equity Interest was acquired: _____ Multiple throughout 2021-2022. - Statement attached	
4. Total amount of member interest: <u>28 (After 1:100 reverse split)</u>	5. Certificate number(s): _____	
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Description: <u>Investor</u>		
7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
9. Signature: Check the appropriate box. <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (Attach copy of power of attorney, if any.) (See Bankruptcy Rule 3004.) (See Bankruptcy Rule 3005.) I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief. Print Name: <u>Michael Hallerbach</u> Title: _____ Company: _____ Address and telephone number (if different from notice address above): _____ _____ _____ _____ Telephone number: (714)724-3012 email: <u>guitarplayer1969@gmail.com</u>		

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

Transaction History for MJH Roth IRA ...889

Transactions found from 12/02/2020 to 12/02/2024

Date	Action	Symbol / Description	Quantity	Price	Fees & Comm	Amount
01/29/2024	Reverse Split	MMAT META MATLS INC	28			
11/06/2023	Journalled Shares	MMAT TDA TRAN - TRANSFER OF SECURITY OR OPTION OUT (MMAT)	-2,750			
11/06/2023	Internal Transfer	MMAT META MATLS INC	2,750			
06/01/2022	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @1.8850	500	\$1.885		-\$942.50
11/12/2021	Buy to Open	MMAT 01/20/2023 10.00 C TDA TRAN - Bought to Open 8 (MMAT Jan 20 2023 10.0 Call) @1.3500	8	\$1.35	\$5.28	-\$1,085.28
11/12/2021	Buy to Open	MMAT 01/20/2023 10.00 C TDA TRAN - Bought to Open 1 (MMAT Jan 20 2023 10.0 Call) @1.3400	1	\$1.34	\$0.66	-\$134.66
09/28/2021	Buy	MMAT TDA TRAN - Bought ...250 (MMAT) @5.8900	250	\$5.89		-\$1,472.50
09/28/2021	Buy	MMAT TDA TRAN - Bought 4 (MMAT) @5.8700	4	\$5.87		-\$23.48
09/28/2021	Buy	MMAT TDA TRAN - Bought ...250 (MMAT) @5.9900	250	\$5.99		-\$1,497.50
09/28/2021	Buy	MMAT TDA TRAN - Bought ...250 (MMAT) @6.0100	250	\$6.01		-\$1,502.50
09/27/2021	Sell	MMAT TDA TRAN - Sold 4 (MMAT) @6.2700	4	\$6.27		\$25.08
09/27/2021	Sell	MMAT TDA TRAN - Sold ...250 (MMAT) @6.2222	250	\$6.2222	\$0.04	\$1,555.51
09/27/2021	Sell	MMAT TDA TRAN - Sold ...250 (MMAT) @6.2150	250	\$6.215	\$0.04	\$1,553.71
09/27/2021	Sell	MMAT TDA TRAN - Sold ...250 (MMAT) @6.2601	250	\$6.2601	\$0.04	\$1,564.99
09/03/2021	Buy	MMAT TDA TRAN - Bought ...250 (MMAT) @5.6057	250	\$5.6057		-\$1,401.43
09/03/2021	Buy	MMAT TDA TRAN - Bought ...250 (MMAT) @5.5957	250	\$5.5957		-\$1,398.93
09/03/2021	Buy	MMAT TDA TRAN - Bought ...250 (MMAT) @5.5863	250	\$5.5863		-\$1,396.58
09/03/2021	Buy	MMAT TDA TRAN - Bought ...250 (MMAT) @5.5900	250	\$5.59		-\$1,397.50
09/03/2021	Buy	MMAT TDA TRAN - Bought ...250 (MMAT) @5.6000	250	\$5.60		-\$1,400.00
09/03/2021	Buy	MMAT TDA TRAN - Bought ...250 (MMAT) @5.6900	250	\$5.69		-\$1,422.50
09/03/2021	Buy	MMAT TDA TRAN - Bought ...250 (MMAT) @5.7289	250	\$5.7289		-\$1,432.23
09/03/2021	Buy	MMAT TDA TRAN - Bought ...250 (MMAT) @5.6900	250	\$5.69		-\$1,422.50
09/03/2021	Buy	MMAT TDA TRAN - Bought ...250 (MMAT) @5.7000	250	\$5.70		-\$1,425.00
09/03/2021	Sell	MMAT	250	\$6.1401	\$0.04	\$1,534.99

Date ▼	Action	Symbol / Description	Quantity	Price	Fees & Comm	Amount
		TDA TRAN - Sold ...250 (MMAT) @6.1401				
09/03/2021	Sell	MMAT TDA TRAN - Sold ...250 (MMAT) @6.3000	250	\$6.30	\$0.04	\$1,574.96
09/03/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @6.0912	500	\$6.0912	\$0.08	\$3,045.52
09/03/2021	Sell	MMAT TDA TRAN - Sold ...250 (MMAT) @6.2700	250	\$6.27	\$0.04	\$1,567.46
09/03/2021	Sell	MMAT TDA TRAN - Sold ...250 (MMAT) @6.2301	250	\$6.2301	\$0.04	\$1,557.49
09/03/2021	Sell	MMAT TDA TRAN - Sold ...250 (MMAT) @6.0900	250	\$6.09	\$0.04	\$1,522.46
09/03/2021	Sell	MMAT TDA TRAN - Sold ...250 (MMAT) @6.3413	250	\$6.3413	\$0.04	\$1,585.29
08/30/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @5.4999	500	\$5.4999		-\$2,749.95
08/30/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @5.4699	500	\$5.4699		-\$2,734.95
08/30/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @5.4699	500	\$5.4699		-\$2,734.95
08/30/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @5.4699	500	\$5.4699		-\$2,734.95
08/30/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @5.2801	500	\$5.2801	\$0.07	\$2,639.98
08/30/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @5.2801	500	\$5.2801	\$0.07	\$2,639.98
08/30/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @5.2910	500	\$5.291	\$0.07	\$2,645.43
08/30/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @5.2901	500	\$5.2901	\$0.07	\$2,644.98
08/30/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @5.3001	500	\$5.3001	\$0.07	\$2,649.98
08/30/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @5.2061	500	\$5.2061		-\$2,603.05
08/30/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @5.1798	500	\$5.1798		-\$2,589.90
08/30/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @5.4101	500	\$5.4101	\$0.07	\$2,704.98
08/30/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @5.3601	500	\$5.3601	\$0.07	\$2,679.98
08/30/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @5.3300	500	\$5.33		-\$2,665.00
08/30/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @5.3300	500	\$5.33		-\$2,665.00
08/30/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @5.2700	500	\$5.27		-\$2,635.00
08/30/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @5.3599	500	\$5.3599		-\$2,679.95
08/30/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @5.5000	500	\$5.50		-\$2,750.00
08/30/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @5.4500	500	\$5.45	\$0.07	\$2,724.93

Date	Action	Symbol Description	Quantity	Price	Fees & Comm	Amount
08/30/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @5.3701	500	\$5.3701	\$0.07	\$2,684.98
08/30/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @5.5101	500	\$5.5101	\$0.07	\$2,754.98
08/30/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @5.5300	500	\$5.53	\$0.07	\$2,764.93
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @4.2200	500	\$4.22		-\$2,110.00
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @4.2200	500	\$4.22		-\$2,110.00
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @4.2200	500	\$4.22		-\$2,110.00
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @4.2300	500	\$4.23		-\$2,115.00
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @4.1601	500	\$4.1601	\$0.07	\$2,079.98
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @4.1649	500	\$4.1649	\$0.07	\$2,082.38
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @4.1650	500	\$4.165	\$0.07	\$2,082.43
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @4.1645	500	\$4.1645	\$0.07	\$2,082.18
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @4.1698	500	\$4.1698		-\$2,084.90
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @4.1698	500	\$4.1698		-\$2,084.90
08/27/2021	Buy	MMAT TDA TRAN - Bought ...200 (MMAT) @4.1550	200	\$4.155		-\$831.00
08/27/2021	Buy	MMAT TDA TRAN - Bought ...300 (MMAT) @4.1598	300	\$4.1598		-\$1,247.94
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @4.1598	500	\$4.1598		-\$2,079.90
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.8713	500	\$3.8713	\$0.07	\$1,935.58
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.8700	500	\$3.87	\$0.07	\$1,934.93
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.8707	500	\$3.8707	\$0.07	\$1,935.28
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.8699	500	\$3.8699		-\$1,934.95
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.8689	500	\$3.8689		-\$1,934.45
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.8318	500	\$3.8318	\$0.07	\$1,915.83
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.8500	500	\$3.85	\$0.07	\$1,924.93
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.8400	500	\$3.84	\$0.07	\$1,919.93
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.8312	500	\$3.8312	\$0.07	\$1,915.53
08/27/2021	Buy	MMAT	500	\$3.8684		-\$1,934.20

Date ▼	Action	Symbol / Description	Quantity	Price	Fees & Comm.	Amount
		TDA TRAN - Bought ...500 (MMAT) @3.8684				
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.8350	500	\$3.835		-\$1,917.50
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.8399	500	\$3.8399		-\$1,919.95
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.8356	500	\$3.8356		-\$1,917.80
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.8390	500	\$3.839		-\$1,919.50
08/27/2021	Sell	MMAT TDA TRAN - Sold 1500 (MMAT) @3.8012	1,500	\$3.8012	\$0.21	\$5,701.59
08/27/2021	Buy	MMAT TDA TRAN - Bought ...100 (MMAT) @3.7799	100	\$3.7799		-\$377.99
08/27/2021	Buy	MMAT TDA TRAN - Bought ...100 (MMAT) @3.7800	100	\$3.78		-\$378.00
08/27/2021	Buy	MMAT TDA TRAN - Bought ...300 (MMAT) @3.7900	300	\$3.79		-\$1,137.00
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.7886	500	\$3.7886		-\$1,894.30
08/27/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.7886	500	\$3.7886		-\$1,894.30
08/27/2021	Sell	MMAT TDA TRAN - Sold ...137 (MMAT) @3.6000	137	\$3.60	\$0.01	\$493.19
08/27/2021	Sell	MMAT TDA TRAN - Sold ...363 (MMAT) @3.6001	363	\$3.6001	\$0.05	\$1,306.79
08/27/2021	Sell	MMAT TDA TRAN - Sold ...100 (MMAT) @3.6001	100	\$3.6001	\$0.01	\$360.00
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.6018	500	\$3.6018	\$0.07	\$1,800.83
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.6150	500	\$3.615	\$0.07	\$1,807.43
08/27/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.6150	500	\$3.615	\$0.07	\$1,807.43
08/26/2021	Buy	MMAT TDA TRAN - Bought ...100 (MMAT) @3.3700	100	\$3.37		-\$337.00
08/26/2021	Buy	MMAT TDA TRAN - Bought 1000 (MMAT) @3.3800	1,000	\$3.38		-\$3,380.00
08/26/2021	Buy	MMAT TDA TRAN - Bought 1000 (MMAT) @3.4399	1,000	\$3.4399		-\$3,439.90
08/26/2021	Sell	MMAT TDA TRAN - Sold 1000 (MMAT) @3.5000	1,000	\$3.50	\$0.14	\$3,499.86
08/26/2021	Buy	MMAT TDA TRAN - Bought 1000 (MMAT) @3.4999	1,000	\$3.4999		-\$3,499.90
08/26/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.4701	500	\$3.4701	\$0.07	\$1,734.98
08/26/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.4756	500	\$3.4756	\$0.07	\$1,737.73
08/26/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.4701	500	\$3.4701	\$0.07	\$1,734.98
08/26/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.4743	500	\$3.4743	\$0.07	\$1,737.08

Date	Action	Symbol / Description	Quantity	Price	Fees & Comm	Amount
08/26/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.4701	500	\$3.4701	\$0.07	\$1,734.98
08/26/2021	Sell	MMAT TDA TRAN - Sold ...500 (MMAT) @3.4701	500	\$3.4701	\$0.07	\$1,734.98
08/12/2021	Buy	MMAT TDA TRAN - Bought ...443 (MMAT) @3.3900	443	\$3.39		-\$1,501.77
08/12/2021	Buy	MMAT TDA TRAN - Bought 57 (MMAT) @3.3700	57	\$3.37		-\$192.09
08/12/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.4384	500	\$3.4384		-\$1,719.20
08/11/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.4899	500	\$3.4899		-\$1,744.95
08/11/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.4884	500	\$3.4884		-\$1,744.20
08/11/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.4886	500	\$3.4886		-\$1,744.30
08/11/2021	Buy	MMAT TDA TRAN - Bought ...500 (MMAT) @3.4840	500	\$3.484		-\$1,742.00

Page Total: **-\$10,253.26**

Intra-day transactions are subject to change.

Brokerage Account Balances are from the previous market close, but also may include new activity that will be posted to your account at after market close. Balances are subject to change.

Bank sweep information can be found on your Statements. Current Balance bank sweep information can be found on the Balances page.

(1123-3U5Y, 0924-CLCV)

Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value

Account: ...889
Today's Date: 04:32 PM ET, 12/02/2024

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Roth Contributory IRA of

MICHAEL JOHN HALLERBACH
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
4127-8889

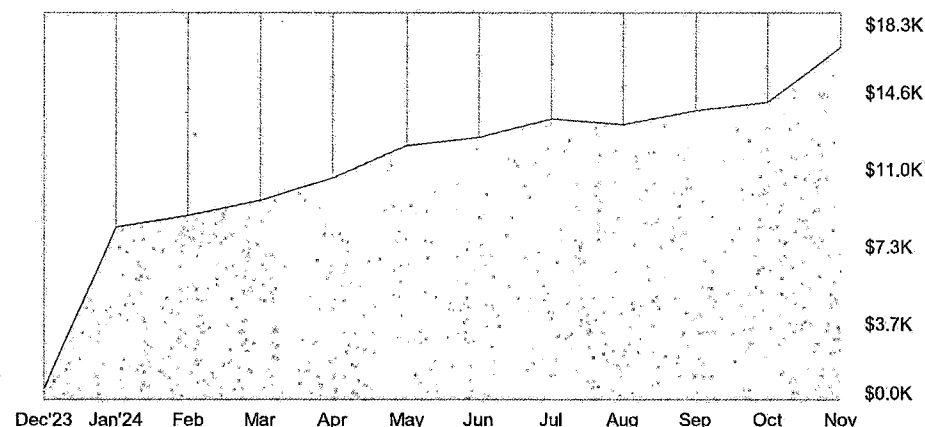
Account Nickname
MJH Roth IRA
Statement Period
November 1-30, 2024

Account Summary

Ending Account Value as of 11/30

\$16,739.37

Beginning Account Value as of 11/01

\$14,143.01

Manage Your Account

Customer Service and Trading:

Call your Schwab Representative
1-800-435-4000
24/7 Customer Service

For the most current records on your account
visit schwab.com/login. Statements are
archived up to 10 years online.

Commitment to Transparency

Client Relationship Summaries and Best Interest
disclosures are at schwab.com/transparency.
Charles Schwab & Co., Inc. Member SIPC.

Online Assistance



Visit us online at schwab.com

Visit schwab.com/stmt to explore the features
and benefits of this statement.

MICHAEL JOHN HALLERBACH
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA
507 JANICE LN
PLACENTIA CA 92870

	This Statement	YTD
Beginning Value	\$14,143.01	\$585.29
Deposits	1,000.00	13,600.00
Withdrawals	0.00	0.00
Dividends and Interest	12.08	92.74
Transfer of Securities	0.00	0.00
Market Appreciation/(Depreciation)	1,584.28	2,461.34
Expenses	0.00	0.00
Ending Value	\$16,739.37	\$16,739.37

Account Ending Value reflects the market value of your cash and investments. It does not include pending transactions, unpriced securities or assets held outside Schwab's custody.



Roth Contributory IRA of

MICHAEL JOHN HALLERBACH
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Nickname

MJH Roth IRA

Statement Period

November 1-30, 2024

Asset Allocation



	This Period	Current Allocation
Cash and Cash Investments	11,378.04	68%
Equities	5,361.33	32%
Total	\$16,739.37	100%

Investment Objective:
Growth

Top Account Holdings This Period

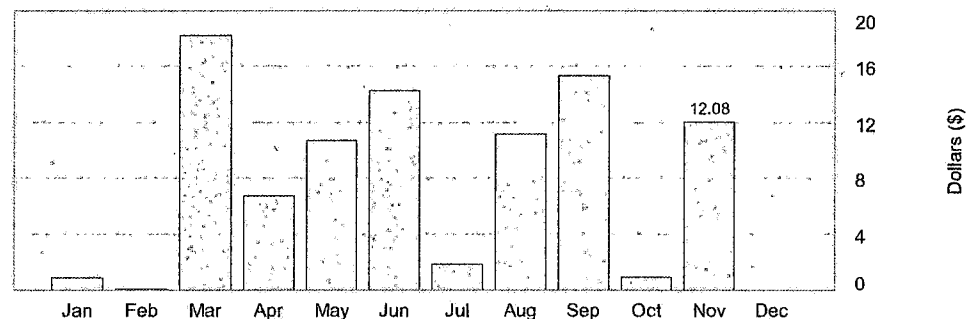
SYMBOL CUSIP	Description	Market Value	% of Accounts
	Cash	11,378.04	68%
WAL	WESTERN ALLIANCE BANCORP	2,349.79	14%
GOOGL	ALPHABET INC	846.78	5%
BN	BROOKFIELD CORP F	798.07	5%
EBAY	EBAY INC	759.48	5%

Gain or (Loss) Summary

	All Positions		Net
	Gain	(Loss)	
This Period	1,148.86	(8,942.37)	(7,793.51)
YTD	0.00	(7,806.94)	(7,806.94)
Unrealized			(\$8,941.46)

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Please login to your account at Schwab.com for real-time gain/loss information. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

Income Summary



	This Period	YTD
Schwab One® Interest	0.26	5.31
Cash Dividends	11.82	87.43
Total Income	\$12.08	\$92.74

Margin Information

The opening settled debit balance in this limited margin account for the statement period was \$0.00. There were no margin debits during the margin period. **No interest was charged.**

Retirement Details

Contributions	2023	2024
Roth IRA	7,500.00	6,100.00
Total YTD (\$)	7,500.00	6,100.00



Roth Contributory IRA of

MICHAEL JOHN HALLERBACH
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRAAccount Nickname
MJH Roth IRAStatement Period
November 1-30, 2024

Positions - Summary

Beginning Value as of 11/01	+	Transfer of Securities(In/Out)	+	Dividends Reinvested	+	Cash Activity	+	Change in Market Value	=	Ending Value as of 11/30	Cost Basis	Unrealized Gain/(Loss)
\$14,143.01		\$0.00		(\$10.57)		\$1,012.08		\$1,594.85		\$16,739.37	\$14,302.79	(\$8,941.46)

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

Cash and Cash Investments

Type	Symbol	Description	Quantity	Price(\$)	Beginning Balance(\$)	Ending Balance(\$)	Change in Period Balance(\$)	Pending/Unsettled Cash(\$)	Interest/ Yield Rate	% of Acct
Cash					1,306.63	11,378.04	10,071.41	(1,248.50)		68%
Total Cash and Cash Investments					\$1,306.63	\$11,378.04	\$10,071.41			68%

Positions - Equities

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$)	Est. Yield	Est. Annual Income(\$)	% of Acct
GOOGL	ALPHABET INC. ⁰	5.0120	168.95000	846.78	710.19	136.59	0.47%	4.01	5%
BN	BROOKFIELD CORP F	13.0000	61.39000	798.07	497.45	300.62	0.52%	4.16	5%
EBAY	EBAY INC ⁰	12.0000	63.29000	759.48	485.22	274.26	1.7%	12.96	5%
MMAT	META MATLS INC	28.0000	0.06100	1.71	10,655.03	(10,653.32)	N/A	0.00	<1%
PRI	PRIMERICA INC	2.0000	302.75000	605.50	440.57	164.93	1.18%	7.20	4%
WAL	WESTERN ALLIANCE BANCORP ⁰	25.1019	93.61000	2,349.79	1,514.33	835.46	1.62%	38.15	14%
Total Equities				\$5,361.33	\$14,302.79	(\$8,941.46)		\$66.48	32%

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.



Roth Contributory IRA of

MICHAEL JOHN HALLERBACH
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRAAccount Nickname
MJH Roth IRAStatement Period
November 1-30, 2024

Transactions - Summary

Beginning Cash* as of 11/01	+	Deposits	+	Withdrawals	+	Purchases	+	Sales/Redemptions	+	Dividends/Interest	+	Expenses	=	Ending Cash* as of 11/30
\$1,306.63		\$1,000.00		\$0.00		(\$10.57)		\$9,069.90		\$12.08		\$0.00		\$11,378.04

Other Activity \$0.00 Other activity includes transactions which don't affect the cash balance such as stock transfers, splits, etc.

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.

Transaction Details

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)	Realized Gain/(Loss)(\$)
11/15	Sale		AN	AUTONATION INC Exchange Processing Fee \$0.02	(4.0000)	167.2078	0.02	668.81	25.61 (LT)
	Sale		BNGO	BIONANO GENOMICS INC Exchange Processing Fee \$0.02	(125.0000)	0.2409	0.02	30.09	(8,942.37) (LT)
	Sale		CMG	CHIPOTLE MEXICAN GRILL I Exchange Processing Fee \$0.02	(10.2163)	60.2497	0.02	615.51	143.21 (LT)
	Sale		MSFT	MICROSOFT CORP Exchange Processing Fee \$0.02	(2.0000)	427.0500	0.02	854.08	77.17 (LT)
	Sale		TOL	TOLL BROS INC Exchange Processing Fee \$0.02	(4.0000)	153.5200	0.02	614.06	119.54 (LT)
	Purchase	Reinvested Shares LAD		LITHIA MTRS INC	0.0029	367.7900		(1.07)	
	Dividend	Qual Div Reinvest LAD		LITHIA MTRS INC				1.07	
11/18	Deposit	MoneyLink Txn		Tfr SchoolsFirst Feder, MICHAEL AND ALIC				1,000.00	
11/22	Sale		ABG	ASBURY AUTOMOTIVE GROUP Exchange Processing Fee \$0.01	(2.0000)	258.0495	0.01	516.09	111.29 (LT)
	Sale		BAM	BROOKFIELD ASSET MGMT F CLASS A Exchange Processing Fee \$0.02	(14.0000)	55.5900	0.02	778.24	250.08 (LT)
	Sale		CEIX	CONSOL ENERGY INC NEW Exchange Processing Fee \$0.02	(5.0000)	132.6650	0.02	663.31	189.27 (LT)



Roth Contributory IRA of

MICHAEL JOHN HALLERBACH
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Nickname
MJH Roth IRA

Statement Period
November 1-30, 2024

Transaction Details (continued)

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)	Realized Gain/(Loss)(\$)
11/22	Sale		LAD	LITHIA MTRS INC Exchange Processing Fee \$0.02	(2.0140)	382.6266	0.02	770.59	189.89 (LT)
11/26	Dividend	Qual. Dividend	CEIX	CONSOL ENERGY INC NEW				1.25	
11/27	Interest	Credit Interest		SCHWAB1 INT 10/30-11/26				0.26	
11/29	Sale		AMR	ALPHA METALLURGICAL RES Exchange Processing Fee \$0.10	(14.0000)	254.2300	0.10	3,559.12	42.80 (LT)
	Purchase	Reinvested Shares WAL		WESTERN ALLIANCE BANCORP	0.1019	93.2300		(9.50)	
	Dividend	Qual Div Reinvest WAL		WESTERN ALLIANCE BANCORP				9.50	
Total Transactions								\$10,071.41	(\$7,793.51)

Date column represents the Settlement/Process date for each transaction.

10/30 through 11/26: \$0.26 based on .142% average Schwab One® interest rate paid on 21 days in which your account had an average daily balance of \$3,974.97.

Pending / Open Activity

Activity Type	Date	Action	Symbol/ CUSIP	Description	Quantity	Market Price/ Rate per Share(\$)	Limit Price(\$)	Settle/ Payable Date	Expiration Date	Amount(\$)
Pending	11/21	Qual Div	PRI	PRIMERICA INC	2.0000	0.9000		12/12		1.80
	11/29	Purchase	BGC	BGC GROUP INC	100.0000	9.7299		12/02		(972.99)
		Purchase	TSLA 12/20/2024 400.00 C	CALL TESLA INC	4.0000	2.6400		12/02		(1,058.64)
		Short Sale	TSLA 12/20/2024 410.00 C	CALL TESLA INC	4.0000	1.9600		12/02		781.33
Total Pending Transactions										(\$1,248.50)

Open Orders	11/29	Sell Stop	BGC	BGC GROUP INC CLASS A	100.0000	9.7400	9.2700	05/28	
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Pending transactions are not included in account value.

You can change or cancel any open order by calling us. Orders expire as of close of business on expiration date listed above.

Endnotes For Your Account

- ◇ Dividends paid on this security will be automatically reinvested.